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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/704,100	11/01/2000	Raymond Kurzweil	11327-012001

Denis G. Maloney  
Fish & Richardson PC  
225 Franklin Street  
Boston, MA 02110-2804

## FORMALITIES LETTER



\*OC000000005697462\*

Date Mailed: 01/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/15/2001 BNGUYEN1 00000087 09704100

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65.00 OP

SECTOR 8

Attorney's Docket No.: 11327-012001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kurzweil et al. Art Unit : 2176  
Serial No. : 09/704,100 Examiner :  
Filed : November 1, 2000  
Title : POET ASSISTANT'S GRAPHICAL USER INTERFACE (GUI)

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed January 23, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

Check in payment of \$65 surcharge for filing the basic filing fee and/or  
declaration on a date later than the filing date of the application;  
Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;  
and  
Other: Postcard acknowledging receipt.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 8, 2001  
Date of Deposit

Diane M. Miles  
Signature

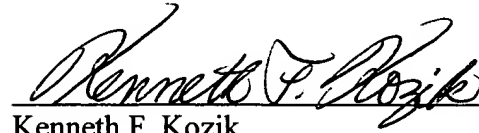
DIANE M. MILES  
Typed or Printed Name of Person Signing Certificate

Applicant : Kurzweil et al.  
Serial No. : 09/704,100  
Filed : November 1, 2000  
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Attorney's Docket No.: 11327-012001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Kenneth F. Kozik", is written over a horizontal line.

Kenneth F. Kozik  
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